

## DECLARATION FOR PATENT APPLICATION

As a below named inventor(s), I (we) hereby declare that:

My (our) residence(s), post office address(es) and citizenship(s) is (are) the same as stated below next to my (our) name(s).

I (we) believe I am (we are) an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD OF HIV AND HPV PROPHYLAXIS**

the specification of which is attached hereto unless the following box is checked:

☒ was filed on **June 11, 1999** as United States Application Number **09/330,629** or  
PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I (we) hereby state that I (we) have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I (we) acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I (we) hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):			Priority YES	Claimed: NO
(Number)	(Country)	(Day/Month/Year)		

I (we) hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

(Application Number)	(Filing Date)

I (we) hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I (we) acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulation, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.)	(Filing date)	(STATUS-patented, pending, abandoned)
<b>60/089,250</b>	<b>June 11, 1998</b>	<b>Pending</b>

## DECLARATION FOR PATENT APPLICATION

I (we) hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and to act in accordance with instructions from **Redox Pharmaceutical Corporation**:

Lloyd McAulay,	Reg. No. 20,423;	J. Harold Nissen,	Reg. No. 17,283;
Jules E. Goldberg,	Reg. No. 24,408;	Gerald H. Kiel,	Reg. No. 25,116;
Francis C. Hand,	Reg. No. 22,280;	Arthur Dresner,	Reg. No. 24,403
Eugene LeDonne,	Reg. No. 35,930;	Mark Montague,	Reg. No. 36,612;
Stephen M. Chin,	Reg. No. 39,938; and	F. Aaron Dubberley,	Reg. No. 41,001

all of McAulay Nissen Goldberg Kiel & Hand, LLP, 261 Madison Avenue, New York, New York 10016-2391.

Address all telephone calls to: **Jules E. Goldberg, Esq.** at Telephone No. **(212) 986-4090**

Address all correspondence to: **Jules E. Goldberg, Esq.**  
**McAulay Nissen Goldberg Kiel & Hand, LLP**  
**261 Madison Avenue,**  
**New York, N.Y. 10016-2391, U.S.A.**

I (we) hereby declare that all statements made herein of my (our) own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or 1st inventor (given name, family name):		<b>CLAUDIA CHERNEY STEWART</b>	
Residence:	Southampton, New York 11968	Citizenship:	U.S.A.
Post Office Address:	33 Flying Point Road - Room 214, Southampton, New York 11968, U.S.A.		
Inventor's signature: <u><i>Claudia C. Stewart</i></u> Date: <u>7/13/99</u>			

Full name of sole or 2nd inventor (given name, family name):			
Residence:		Citizenship:	
Post Office Address:			
Inventor's signature: _____ Date: _____			



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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: REDOX PHARMACEUTICAL CORPORATIONADDRESS OF CONCERN: 33 Flying Point Road - Room 214, Southampton, N.Y. 11968

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD OF HIV AND HPV PROPHYLAXIS described in application Serial No. 09/330,629 filed June 11, 1999.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ Individual                      ☐ Small Business Concern                      ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING THOMAS G. TACHOVSKYTITLE OF PERSON SIGNING PRESIDENT / CEOADDRESS OF PERSON SIGNING 33 Flying Point Road - Room 214, Southampton, N.Y. 11968.

SIGNATURE

DATE

13 July 1999